

Annual Report of Non-compliance with the Terms of the Consent Decree per Paragraph 9.c.2

Project Name	DOTPF NOI Tracking #	DOTPF Region	Date Non-Compliance Began	Date of Return to Compliance	Description of Non-Compliance	Non-compliance, cont	Applicable Consent Decree Section	Description of Corrective Action	Corrective Action, cont
Alaska Highway MP 1222-1235 Rehabilitation_2	AKR10DB38	Northern	9/23/2010	10/3/2010	Diesel spill to gravel in Paradise Pit identified on 9/16 Inspection Report was to be cleaned by 9/22. Clean-up noted on 9/18 and 9/19 in the Corrective Action Log was found to be insufficient on 9/23 Inspection Report.		8a - Completion of BMP Action Items by Date	Further clean-up actions were taken on 9/27 and clean up was complete on 10/3/2010.	
Alaska Highway MP 1222-1235 Rehabilitation_2	AKR10DB38	Northern	9/23/2010	9/30/2010	On the 9/23 Inspection Report, DOT Inspector Audrey Scott provided an incorrect expiration date (4/2013) for her AK-CESCL certification. Her actual expiration date is 4/2012.		7c2 - Inspector Qualifications	Audrey Scott provided her correct expiration date on the 9/30 Inspection Report.	
Alaska Highway MP 1222-1235 Rehabilitation_2	AKR10DB38	Northern	9/30/2010	10/7/2010	On the 9/30 Inspection Report, the Weather section regarding the weather since the last inspection is not completed.		7c4 - Weather and Discharges Since Last Inspection	Subsequent Inspection Reports provided the weather information.	

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Illinois Street Reconstruction - Barnette St. Bridge	AKR10DB79	Northern	10/5/2010	10/12/2010	On the 10/5 Inspection Report, DOT Inspector Stefani Bell provided an incorrect AK-CESCL certification expiration date. The date provided was 3/10/2010 and her actual expiration date is 3/13/2013.		7c2 - Inspector Qualifications	S. Bell provided her correct expiration date on the next Inspection (10/12), bringing the project into compliance.	
Kotzebue Roads-Shore Avenue	AKR10CH95	Northern	9/21/2010	9/28/2010	On 9/21 Inspection Report, Roger Perttu (Superintendent) provided an incorrect AK-CESCL certification expiration date. The date provided was 7/29/2010. His actual expiration date is 7/29/2013.	The error makes it appear R. Perttu was not current in AK-CESCL at time of Inspection, though he was.	7c2 - Inspector Qualifications	R. Perttu provided his correct expiration date on the next Inspection (9/28), bringing the project into compliance.	
Lake Louise Aiport Improvements - Stage II	AKR10DE88	Northern	10/1/2010	10/8/2010	Superintendent did not certify inspection report - Terry Nininger, Office Manager, signed "for".		7c11 - Certification of the Inspection	The next Inspection Report, dated 10/8/2010, was correctly certified by the contractor's Superintendent.	

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Lake Louise Aiport Improvements - Stage II	AKR10DE88	Northern	10/21/2010	11/22/2010	Superintendent did not certify inspection report - Terry Nininger, Office Manager, signed "for".		7c11 - Certification of the Inspection	This error was not discovered until after project completion. Project Engineer was notified on 11/22/2010 for reference on future projects.	
Nordale Rd Pavement Rehab - FMATS	AKR10DH14	Northern	9/21/2010	9/28/2010	The 9/21 Inspection Report identifies that BMP 4, Straw Wattle at Sta 100 to 102 Lt, needed clean-out. The complete-by date of 9/27/2010 was not recorded on the inspection report, but was identified on the Corrective Action Log.	The clean-out was completed on 9/24/2010, three days prior to the assigned deadline.	7c10 - BMP Action Items and Complete-by Date	The following inspection report, 9/28/2010, did not miss any complete-by dates. Corrective Action log shows this action was completed on 9/24/2010.	
Nordale Rd Pavement Rehab - FMATS	AKR10DH14	Northern	9/21/2010	12/1/2010	Inspection certified by Contractor's SWPPP Manager, instead of Superintendent.		7c11 - Certification of the Inspection	This mistake was not caught until after project entered winter shut down. On 12/1/2010, the Project Engineer was informed of the error and reminded of certification requirements in order to avoid this error when the project re-starts in the spring.	

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Nordale Rd Pavement Rehab - FMATS	AKR10DH14	Northern	9/28/2010	12/1/2010	R. Coats, Contractor's SWPPP Manager, certified the 9/28 Inspection Report on behalf of the contractor instead of the Superintendent.		7c11 - Certification of the Inspection	This mistake was not caught until after project entered winter shut down. On 12/1/2010, the Project Engineer was informed of the error and reminded of certification requirements in order to avoid this error when the project re-starts in the spring.	
Nordale Rd Pavement Rehab - FMATS	AKR10DH14	Northern	10/26/2010	12/1/2010	Inspection Report certified by Contractor's SWPPP Manager, instead of Superintendent.		7c11 - Certification of the Inspection	This mistake was not caught until after project entered winter shut down. On 12/1/2010, the Project Engineer was informed of the error and reminded of certification requirements in order to avoid this error when the project re-starts in the spring.	
Northern Region July 2010 Floods Emergency Repair	AKR10DG90	Northern	9/27/2010	10/4/2010	9-27-2010 Inspection Report noted that Spill Kit needed to be replaced at MS 83. No complete-by date was assigned for this Corrective Action.		7c10 - BMP Action Items and Complete-by Date	This Corrective Action was re-identified as a Corrective Action on the 10/4/2010 Inspection Report, and a complete-by date was assigned. Project Engineer was reminded to assign complete-by dates for Corrective Actions.	

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Northern Region July 2010 Floods Emergency Repair	AKR10DG90	Northern	10/4/2010	10/8/2010	On the 10/4/2010 Inspection Report, incomplete weather information is provided.		7c4 - Weather and Discharges Since Last Inspection	Subsequent reports provide complete weather information.	
Northern Region July 2010 Floods Emergency Repair	AKR10DG90	Northern	10/11/2010	10/12/2010	Corrective Action noted on the 9-27-2010 Inspection Report, "replace Spill Kit at MS 83" was re-identified on 10/4 Inspection Report and assigned a complete-by date of 10-10-2010, which was not met.		8a - Completion of BMP Action Items by Date	The spill kit was moved from MS 83 and used. Additional supplies had to be ordered for the kit. No spills or incidents occurred during the time the spill kit was missing at this site. Action completed 10-12-2010.	
Northern Region July 2010 Floods Emergency Repair	AKR10DG90	Northern	10/15/2010	10/22/2010	Project consisted of 67 sites over about 90mi that could not be feasibly inspected in one day, so sites were split over a three day period in which all sites were inspected.	This inspection missed two sites as indicated by the Inspector's "Inspection Log" spreadsheet.	7c3- Scope of Inspection	Sites were inspected on next Inspection Report.	

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Northern Region July 2010 Floods Emergency Repair	AKR10DG90	Northern	10/18/2010	10/25/2010	Project consisted of 67 sites over about 90mi that could not be feasibly inspected in one day, so sites were split up over a three day period in which all sites were inspected.	This inspection missed four sites as indicated by the Inspector's "Inspection Log" spreadsheet.	7c3 - Scope of Inspection	Sites were inspected on next Inspection Report.	
Nulato Airport Improvements	AKR10DI72	Northern	10/11/2010	1/19/2011	In Appendix 13 of the SWPPP, there is no statement to say that no BMP manual or publication was used for BMP 16, which is gravel surfacing.		6b - BMP Manual Citation	Contractor added statement to BMP 16 descriptions.	
Nulato Airport Improvements	AKR10DI72	Northern	10/11/2010	1/19/2011	In Appendix 13 of the SWPPP, there is no statement to say that no BMP manual or publication was used for BMP 17, Riprap, used for stabilizing culvert outlets.		6b - BMP Manual Citation	Contractor added statement to BMP 17 descriptions.	

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Nulato Airport Improvements	AKR10DI72	Northern	10/11/2010	1/19/2011	In Appendix 13 of the SWPPP, there is no statement to say that no BMP manual or publication was used for BMP 21, Flagging, used to mark area to preserve existing vegetation.		6b - BMP Manual Citation	Contractor added statement to BMP 21 descriptions.	
Parks Hwy MP 287-305 Rehabilitation and Resurface	AKR10DC95	Northern	9/30/2010	10/8/2010	On the 9/30 Inspection, DOT Inspector A. Schaefer provided an incorrect expiration date for his AK-CESCL certification. The date he provided, 3/10/2010, is the date he obtained his certification; his certification expires on 3/10/2013.		7c2 - Inspector Qualifications	Informed Project Engineer of error, since this was the last inspection before the NOT was filed on 10/13/2010, there were no future inspection reports to correct.	
Salmon Lake Airport Improvements	AKR10DH10	Northern	10/10/2010	10/12/2010	In the SWPPP, inspection frequency is once every 7 days. The inspection due on 10/9/2010 was missed.		7b - Inspection Frequency	Inspection was conducted on 10/12/2010. This was the last inspection prior to the NOT.	

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Tok Cutoff Gakona River Bridge	AKR10CG26	Northern	10/7/2010	10/14/2010	Robert Miller conducted the 10/7 inspection for DOT&PF; however, the AK-CESCL information provided is for Jacob Helton (#2464, exp. 5/13/2011).	Miller was certified at the time of Inspection (AK-CESCL #175, exp. 5/5/2013).	7c2 - Inspector Qualifications	Jacob Helton conducted the 10/14 Inspection for DOT&PF and his correct AK-CESCL information is provided on the Inspection form.	
Wendell Ave. ADA Improvements	AKR10DJ03	Northern	10/14/2010	10/21/2010	On the 10/14 Inspection report, BMPs #1-5 were noted to require maintenance. However, the station number or other locational identifiers were not provided in the inspection report for these BMPs.		7c7 - Location of BMPs - Maintenance	This was the last inspection before winter shutdown. Project Staff were informed of error to avoid this when project re-starts in the Spring.	